

## Compliance Consultant for Data Management and IT m/f/d/, Assistant Vice President

### Description

Who we are looking for

With immediate effect we are looking for a Compliance Consultant for Data Management and IT to join our State Street Bank International GmbH (SSBI) Compliance Department in Munich or Frankfurt. The successful candidate is an expert in Compliance, Business Control or Audit functions within the Financial Services sector with particular focus on data governance, data strategy and supporting processes as well as IT environment and thrives in a fast-paced environment. The role may evolve into Compliance or other Risk and Business Control areas.

This role can be performed in a hybrid model, where you can balance work from home and office (at least 2 days per week) to match your needs and role requirements.

Why this role is important to us

The team you will be joining plays an important role in the overall success of the organization. Across the globe, institutional investors rely on us to help them manage risk, respond to challenges, and drive performance and profitability. To make that happen we need teams like yours to help navigate employees and the organization as a whole. In your role you will strive for cutting-edge solutions, that are straightforward and scalable. You will help us build resilience and execute day to day deliverables at our best.

Join us if making your mark in the financial services industry from day one is a challenge you are up for.

What you will be responsible for

As Compliance Consultant for Data Management/IT you will

- Work in conjunction with other Compliance team members as well as business partners and external parties all over the globe to resolve issues and ensure accuracy of accountabilities, and adherence to all policies, regulations and guidelines
- Maintain and continuously develop knowledge around core regulatory requirements, products of business units, applicable financial markets, industry trends and key technology in use by the business units
- Support the management and Compliance team in the development, implementation, reviews and revisions of Compliance manuals, policies and procedures

### Hiring organization

Candidate-1st

### Employment Type

Full-time

### Beginning of employment

asap

### Job Location

Munich, Germany

### Working Hours

40

### Base Salary

euro USD 65K - 121K \*

### Date posted

May 16, 2024

- Under limited supervision, work on various elements of a particular business units Compliance Oversight Program (COP) with particular reference to the data management and IT area and actively participate in risk management projects
- Understand of various risk assessment frameworks and formulate recommendations for Compliance strategies based on accurate identification of potential business risks, franchise risks, reputational risks, and emerging risks with particular reference to the IT area
- Design, plan, conduct and report on the testing within Compliance Advisory Reviews for SSBI GmbH and its branches, with particular focus on the IT area, and coordinate the implementation of controls with the business and management
- Exercise discretion and judgment with regard to matters of significance, such as supporting production of management reporting, including data collection, qualitative and / or quantitative analysis, formatting and quality assurance
- Under limited supervision, act as primary contact / coordinator for regulatory exams and investigations in the IT area
- Support communication to inform business units of regulatory developments and policy changes with particular reference to the IT Area
- Drive open and collaborative communications ensuring regulatory information is shared in a timely manner with key functions
- Support the implementation of Compliance training and education programs to keep business personnel apprised of Compliance policies and regulatory obligations (inclusive of new employee induction training)
- Identify and report all risk and Compliance issues, breaches and suspicious activities

#### What we value

These skills will help you succeed in this role:

- Strong knowledge of data management (data strategy, data governance and supporting processes) and IT is required. Ability to communicate with and appropriately influence all levels of management
- Excellent interpersonal, communication (written and verbal), and presentation skills
- Excellent project management and attention to detail
- Strong customer focus

## Education & Preferred Qualifications

- Successfully completed Bachelor's or Master's Degree or similar educational background
- Around six to eight years of relevant work experience within Risk, Compliance, Legal or Audit functions within the financial services sector preferred
- Strong knowledge of IT is required
- Fluency in English; knowledge of additional European languages beneficial

## What we offer

- Work from home days might be possible depending on the role
- Depending on legal entity 37,5 or 40 working hours per week
- Pension plan offering
- Wellbeing & health programs (anonymous Employee Assistance Program, PME Family Service, trainings)
- Group accident insurance
- Mentoring program
- Regular performance reviews and structured performance management
- Personal development possibilities and extensive web based training catalogue
- Free German and English language classes
- Global Inclusion, Global Outreach and Corporate Citizenship programs
- Dynamic, diverse, international, and multicultural working environment with English company language

## What we offer

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About State Street

**Job Benefits**

USD 65K – 121K \*